



# TURNAROUNDCORPORATEADVISORSPRIVATELIMITED

A SEBI Registered Category-I Merchant Banker

## INVESTOR COMPLAINT DATA

Data for October, 2022-

| Sr. No. | Receivedfrom                  | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month# | Pending complaints >1month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|--|----------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | NIL                               | Nil                                    | Nil                        | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                    | Nil                        | NA                                 |
| 3       | Stock Exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                    | Nil                        | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                    | Nil                        | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                    | Nil                        | NA                                 |

Trend of monthly disposal of complaints for the financial year:

| Sr. No. | Month           | Carried forward From previous month | Received during particular month | Resolved during Particular month* | Pending at the End of particular month# |
|---------|-----------------|-------------------------------------|----------------------------------|-----------------------------------|---|
|         | April,2022      | Nil                                 | Nil                              | Nil                               | Nil                                     |
|         | May, 2022       | Nil                                 | Nil                              | Nil                               | Nil                                     |
|         | June, 2022      | Nil                                 | Nil                              | Nil                               | Nil                                     |
|         | July, 2022      | Nil                                 | Nil                              | Nil                               | Nil                                     |
|         | August, 2022    | Nil                                 | Nil                              | Nil                               | Nil                                     |
|         | September, 2022 | Nil                                 | Nil                              | Nil                               | Nil                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\*Inclusive of complaints of previous months resolved in the current month #Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

| Sr. No. | Year              | Carriedforwardfrom previousyear | Received during particularyear | Resolved during particularyear | Pendingattheend of particularyear |
|---------|-------------------|---------------------------------|--------------------------------|--------------------------------|-----------------------------------|
| 1.      | 2019-20           | Nil                             | Nil                            | Nil                            | Nil                               |
| 2.      | 2020-21           | Nil                             | Nil                            | Nil                            | Nil                               |
| 3.      | 2021-22           | Nil                             | Nil                            | Nil                            | Nil                               |
|         | <b>Grandtotal</b> | Nil                             | Nil                            | Nil                            | Nil                               |